



**NATIONAL ENVIRONMENT MANAGEMENT AUTHORITY**

**REQUEST FOR PROPOSAL FOR PROVISION OF CONSULTANCY  
SERVICES FOR THE DEVELOPMENT OF CORPORATE SOCIAL  
RESPONSIBILITY POLICY AND STRATEGY**

**TENDER No. NEMA/RFP/031/2019-2020**

**BID DOCUMENT  
(QUALITY COST BASED SELECTION)**

**(FIRMS ONLY)**

**ISSUE DATE : 19<sup>TH</sup> MAY 2020**

**SUBMISSION DATE : ON OR BEFORE 03<sup>RD</sup> JUNE 2020 AT 11:00AM**

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## **INTRODUCTION**

### **SECTION I : INVITATION LETTER**

To;

Dear Sir/Madam

Date: 19<sup>th</sup> May, 2020

### **RE: REQUEST FOR PROPOSAL FOR PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT OF CORPORATE SOCIAL RESPONSIBILITY POLICY AND STRATEGY**

#### **TENDER No. NEMA/RFP/031/2019-2020**

- 1.1 The National Environment Management (NEMA) invites proposals from **qualified and experienced communication firms** for consultancy services for the Development of Corporate Social Responsibility Policy and Strategy
- 1.2 The request for proposals (RFP) includes the following documents:
- |             |   |   |
|-------------|---|---|
| Section I   | - | Letter of Invitation  |
| Section II  | - | Information to consultants<br>Appendix to Consultants information |
| Section III | - | Terms of Reference  |
| Section IV  | - | Technical proposal  |
| Section V   | - | Financial proposal  |
| Section VI  | - | Standard Contract Form  |
- 1.3 Upon receipt, please inform us
- (a) that you have received the letter of invitation
  - (b) whether or not you will submit a proposal for the assignment

Yours sincerely

**CHIEF PROCUREMENT OFFICER  
FOR: DIRECTOR GENERAL**

## **SECTION II : INFORMATION TO CONSULTANTS**

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## **SECTION II: - INFORMATION TO CONSULTANTS (ITC)**

### **2.1 Introduction**

2.1.1 The National Environment Management Authority is established under Environmental Management and Co-ordination Act, Cap 387. The Authority has a wide mandate of exercising general supervision and coordination over all matters relating to the environment and to be the principal instrument of the Government of Kenya in the implementation of all policies relating to the environment.

Corporate social responsibility is deeply embedded and aligned with our culture of service at NEMA. We are dedicated to operating a responsible business that respects our stakeholders and our environment, all with an eye on the long term. That means committing ourselves to the principle of sustaining a better environment in a careful and disciplined way that allows us and our communities to thrive today and well into the future.

NEMA operates within an environment which it must be willing to respect, if we want to enjoy positive relationships with the communities in which we operate in and to be treated differently and respectfully. This will lead to general goodwill and local support from the community and from County and National governments. For the Authority to succeed there is need to work out the best ways of developing and nurturing community expectations in community involvement programs that mutually support the community and the Authority goals.

Organizations have the social responsibility of planning and managing its relationships with the community around them. Many successful organizations spend a lot of time and money fulfilling their social responsibilities which help

them raise their profile and improve their reputation through being associated with good works.

NEMA has always taken into account the effects of operations on the physical environment and seeks to minimize the negative effects e.g. by containing pollution, managing issues of waste, as well as safeguarding the environment as a whole. The Authority seeks to go a little further and participate in society's development.

It is for this reason, that NEMA requires a CSR policy and strategy to meet part of the Authority's mandate. The policy framework shall be developed taking cognizance of the principle of participation and inclusion of all stakeholders within environmental concerns.

### **Objective**

The main objective of the consultancy is to develop NEMA Corporate Social Responsibility Policy and strategy.

### **Scope of works**

1. Assess and evaluate the performance of the Authority's current CSR program  
(Services, decision-making processes and activities)
2. Develop a CSR Policy and Strategy.
3. Develop CSR Implementation Plan
4. Develop a CSR Monitoring and Evaluation Framework.
5. Train the user departments on the CSR strategy and policy

- 2.1.2 The consultants are invited to submit a Technical Proposal and a Financial Proposal, or a Technical Proposal only, as specified in the Appendix “ITC” for consulting services required for the assignment named in the said Appendix. A Technical Proposal only may be submitted in assignments where the Client intends to apply standard conditions of engagement and scales of fees for professional services which are regulated as is the case with Building and Civil Engineering Consulting services. In such a case the highest ranked firm of the technical proposal shall be invited to negotiate a contract on the basis of scale fees. The proposal will be the basis for Contract negotiations and ultimately for a signed Contract with the selected firm.
- 2.1.3 The consultants must familiarize themselves with local conditions and take them into account in preparing their proposals. To obtain first-hand information on the assignment and on the local conditions, consultants are encouraged to liaise with the Client regarding any information that they may require before submitting a proposal and to attend a pre-proposal conference where applicable. Consultants should **contact the officials named in the Appendix “ITC”** to arrange for any visit or to obtain additional information on the pre-proposal conference. Consultants should ensure that these officials are advised of the visit in adequate time to allow them to make appropriate arrangements.
- 2.1.4 The procuring entity will provide the inputs specified in the Appendix “ITC”, assist the firm in obtaining licenses and permits needed to carry out the services and make available relevant project data and reports.
- 2.1.5 Please note that (i) the costs of preparing the proposal and of negotiating the Contract, including any visit to the Client are not reimbursable as a direct cost of the assignment; and (ii) the Client is not bound to accept any of the proposals submitted.

2.1.6 The procuring entity's employees, committee members, board members and their relative (spouse and children) are not eligible to participate.

2.1.7 The price to be charged for the tender document shall not exceed Kshs.1,000/=

2.1.8 The procuring entity shall allow the tenderer to review the tender document free of charge before purchase.

## **2.2 Clarification and Amendment of RFP Documents**

2.2.1 Consultants may request a clarification of any of the RFP documents only up to seven [7] days before the proposal submission date. Any request for clarification must be sent in writing by paper mail, cable, telex, facsimile or electronic mail to the Client's address indicated in the Appendix "ITC". The Client will respond by cable, telex, facsimile or electronic mail to such requests and will send written copies of the response (including an explanation of the query but without identifying the source of inquiry) to all invited consultants who intend to submit proposals.

2.2.2 At any time before the submission of proposals, the Client may for any reason, whether at his own initiative or in response to a clarification requested by an invited firm, amend the RFP. Any amendment shall be issued in writing through addenda. Addenda shall be sent by mail, cable, telex or facsimile to all invited consultants and will be binding on them. The Client may at his discretion extend the deadline for the submission of proposals.

## **2.3 Preparation of Technical Proposal**

2.3.1 The Consultants proposal shall be written in English language



**2.3.2** In preparing the Technical Proposal, consultants are expected to examine the documents constituting this RFP in detail. Material deficiencies in providing the information requested may result in rejection of a proposal.

**2.3.3** While preparing the Technical Proposal, consultants must give particular attention to the following:

- (i) If a firm considers that it does not have all the expertise for the assignment, it may obtain a full range of expertise by associating with individual consultant(s) and/or other firms or entities in a joint venture or sub-consultancy as appropriate. Consultants shall not associate with the other consultants invited for this assignment. Any firms associating in contravention of this requirement shall automatically be disqualified.
- (ii) For assignments on a staff-time basis, the estimated number of professional staff-time is given in the Appendix. The proposal shall however be based on the number of professional staff-time estimated by the firm.
- (iii) It is desirable that the majority of the key professional staff proposed be permanent employees of the firm
- (iv) or have an extended and stable working relationship with it.
- (v) Proposed professional staff must as a minimum, have the experience indicated in Appendix, preferably working under conditions similar to those prevailing in Kenya.

- (vi) Alternative professional staff shall not be proposed and only one Curriculum Vitae (CV) may be submitted for each position.

**2.3.4** The Technical Proposal shall provide the following information using the attached Standard Forms;

- (i) A brief description of the firm's organization and an outline of recent experience on assignments of a similar nature. For each assignment the outline should indicate *inter alia*, the profiles of the staff proposed, duration of the assignment, contract amount and firm's involvement.
- (ii) Any comments or suggestions on the Terms of Reference, a list of services and facilities to be provided by the Client.
- (iii) A description of the methodology and work plan for performing the assignment.
- (iv) The list of the proposed staff team by specialty, the tasks that would be assigned to each staff team member and their timing.
- (v) CVs recently signed by the proposed professional staff and the authorized representative submitting the proposal. Besides, the CVs and copies of the academic and other professional certificates should be certified by a commissioner of oaths. Key information should include number of years working for the firm/entity and degree of responsibility held in various assignments during **the last eight (8) years for the lead consultant and five (5) years for the other consultants.**

- (vi) Estimates of the total staff input (professional and support staff staff-time) needed to carry out the assignment supported by bar chart diagrams showing the time proposed for each professional staff team member.
- (vii) A detailed description of the proposed methodology, staffing and monitoring of training, if Appendix “A” specifies training as a major and specific component of the assignment.
- (viii) Any additional information requested in Appendix “A”.

**2.3.5 The Technical Proposal shall not include any financial information.**

## **2.4 Preparation of Financial Proposal**

**2.4.1** In preparing the Financial Proposal, consultants are expected to take into account the requirements and conditions outlined in the RFP documents. The Financial Proposal should follow Standard Forms (Section D). It lists all costs associated with the assignment including;

- a) Remuneration for staff (in the field and at headquarters), and;
- b) Reimbursable expenses such as subsistence (per diem, housing), transportation (international and local, for mobilization and demobilization), services and equipment (vehicles, office equipment, furniture, and supplies), office rent, insurance, printing of documents, surveys, and training, if it is a major component of the assignment. If appropriate these costs should be broken down by activity.

**2.4.2** The Financial Proposal should clearly identify as a separate amount, the local taxes, duties, fees, levies and other charges imposed under the law on the

consultants, the sub-consultants and their personnel, unless Appendix “A” specifies otherwise.

**2.4.3** Consultants shall express the price of their services in Kenya Shillings.

**2.4.4** Commissions and gratuities, if any, paid or to be paid by consultants and related to the assignment will be listed in the Financial Proposal submission Form.

**2.4.5** The Proposal must remain valid for **120 days** after the submission date. During this period, the consultant is expected to keep available, at his own cost, the professional staff proposed for the assignment. The Client will make his best effort to complete negotiations within this period. If the Client wishes to extend the validity period of the proposals, the consultants shall agree to the extension.

## **2.5 Submission, Receipt, and Opening of Proposals**

**2.5.1** The original proposal (Technical Proposal and, if required, Financial Proposal; see paragraph 1.2) shall be prepared in indelible ink. It shall contain no interlineation or overwriting, except as necessary to correct errors made by the firm itself. Any such corrections must be initialed by the persons or person authorized to sign the proposals.

**2.5.2** For each proposal, the consultants shall prepare the number of copies indicated in Appendix “A”. Each Technical Proposal and Financial Proposal shall be marked “**ORIGINAL**” or “**COPY**” as appropriate. If there are any discrepancies between the original and the copies of the proposal, the original shall govern.

**2.5.3** The original and all copies of the Technical Proposal shall be placed in a sealed envelope clearly marked “**TECHNICAL PROPOSAL,**” and the original and all

copies of the Financial Proposal in a separate sealed envelope clearly marked **“FINANCIAL PROPOSAL”** and warning: **“DO NOT OPEN WITH THE TECHNICAL PROPOSAL”**. Both sealed envelopes shall be placed into an outer envelope and sealed. This outer envelope shall bear the submission address and other information indicated in the Appendix “ITC” and be clearly marked, **“DO NOT OPEN, EXCEPT IN PRESENCE OF THE OPENING COMMITTEE.”**

**2.5.4** The completed Technical and Financial Proposals must be delivered at the submission address on or before the time and date stated in the Appendix “ITC”. Any proposal received after the closing time for submission of proposals shall be returned to the respective consultant unopened.

**2.5.5** After the deadline for submission of proposals, the Technical Proposal shall be opened immediately by the opening committee. The Financial Proposal shall remain sealed and deposited with a responsible officer of the Client department up to the time for public opening of financial proposals.

## **2.6 Proposal Evaluation General**

**2.6.1** From the time the bids are opened to the time the Contract is awarded, if any consultant wishes to contact the Client on any matter related to his proposal, he should do so in writing at the address indicated in the Appendix “ITC”. Any effort by the firm to influence the Client in the proposal evaluation, proposal comparison or Contract award decisions may result in the rejection of the consultant’s proposal.

**2.6.2** Evaluators of Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded.

## 2.7 Evaluation of Technical Proposal

2.7.1 The evaluation committee appointed by the Client shall evaluate the proposals on the basis of their responsiveness to the Terms of Reference, applying the evaluation criteria as follows;

**(i) Specific Experience of the firm Related to the Assignment (20 points)**

NEMA wishes to engage a **qualified and experienced communication firm** to execute the assignment on consultancy contract basis. The selected consultancy firm shall have a proven track record and existence in planning and design of CSR policy and strategy. The firm shall have good knowledge and understanding in working with public institutions in implementation of CSR activities in Kenya or within the region. ***Contracts/LPOs/Letters of completion from the said organizations shall be attached as proof of having carried out the assignment. Note that, letters of recommendation have to be supported by the above mentioned (Contracts/LPOs/Letters of completion)***

**(ii) Adequacy of the proposed work plan and methodology in responding to the Terms of Reference (40 Points)**

The firm shall give details on the approach and methodology to ensure development of the CSR Policy and Strategy. A clear work plan should be provided. Bidders must demonstrate a clear understanding of NEMA's operations, the TORs of the assignment and clearly indicate how the objectives will be achieved.

**(iii) Qualifications and competence of the key staff for the assignment (40 points)**

The Firm Consultant will present a Lead consultant and 2 others who will be involved in the assignment. The lead consultant must provide a description

of relevant assignments of a similar nature conducted within the last eight years which should include number of institutions corporate strategies developed for. ***Contracts/LPOs/Letters of completion from the said organizations shall be attached as proof of having carried out the assignment. Note that, letters of recommendation have to be supported by the above mentioned (Contracts/LPOs/Letters of completion)***

The consultant must be a holder of Master's degree in relevant field, trained and experience in Business Management/Strategic communications/Development Communication or any other related field. He must demonstrate knowledge in IT. He should have handled at least 5 **similar** assignments

The other 2 consultants will have a minimum 3 years' experience in Business Management/ Strategic communications/ Development Communication and should be holders of a Bachelor's degree from a recognized university. They must have been involved in at least 3 similar projects.

**(The CVs and copies of academic and professional credentials, all certified by a commissioner of oaths, must be attached)**

***A detailed evaluation criteria arising from the above is on Page 24-28***

2.7.2 Any proposal which will be examined and found not to comply with all the requirements for submission of the proposals will be declared non responsive. All the proposals found to have complied with all the requirements for submission of proposal shall be declared responsive by the evaluation committee.

2.7.3 Each responsive proposal will be given a technical score (St). A proposal shall be rejected at this stage if it does not respond to important aspects of the Terms

of Reference or if it fails to achieve the minimum technical score indicated in the Appendix "ITC".

## **2.8 Public Opening and Evaluation of Financial Proposal**

2.8.1 After Technical Proposal evaluation, the Client shall notify those consultants whose proposals did not meet the minimum qualifying mark or were considered non-responsive to the RFP and Terms of Reference, indicating that their Financial Proposals will be returned after completing the selection process. The Client shall simultaneously notify the consultants who have secured the minimum qualifying mark, indicating the date and time set for opening the Financial Proposals and stating that the opening ceremony is open to those consultants who choose to attend. The opening date shall not be sooner than seven (7) days after the notification date. The notification may be sent by registered letter, cable, telex, facsimile or electronic mail.

2.8.2 The Financial Proposals shall be opened publicly in the presence of the consultants' representatives who choose to attend. The name of the consultant, the technical. Scores and the proposed prices shall be read aloud and recorded when the Financial Proposals are opened. The Client shall prepare minutes of the public opening.

2.8.3 The evaluation committee will determine whether the financial proposals are complete (i.e. whether the consultant has costed all the items of the corresponding Technical Proposal and correct any computational errors. The cost of any unpriced items shall be assumed to be included in other costs in the proposal. In all cases, the total price of the Financial Proposal as submitted shall prevail.



- 2.8.4 While comparing proposal prices between local and foreign firms participating in a selection process in financial evaluation of Proposals, firms incorporated in Kenya where indigenous Kenyans own 51% or more of the share capital shall be allowed a 10% preferential bias in proposal prices. However, there shall be no such preference in the technical evaluation of the tenders. Proof of local incorporation and citizenship shall be required before the provisions of this sub-clause are applied. Details of such proof shall be attached by the Consultant in the financial proposal.
- 2.8.5 The formulae for determining the Financial Score (Sf) shall, unless an alternative formulae is indicated in the Appendix "ITC", be as follows:-  
$$Sf = 100 \times \frac{Fm}{F}$$
 where Sf is the financial score; Fm is the lowest priced financial proposal and F is the price of the proposal under consideration. Proposals will be ranked according to their combined technical (St) and financial (Sf) scores using the weights (T=the weight given to the Technical Proposal; P = the weight given to the Financial Proposal;  $T + p = 1$ ) indicated in the Appendix. The combined technical and financial score, S, is calculated as follows:-  $S = St \times T \% + Sf \times P \%$ . The firm achieving the highest combined technical and financial score will be invited for negotiations.
- 2.8.6 The tender evaluation committee shall evaluate the tender within 30 days of from the date of opening the tender.
- 2.8.7 Contract price variations shall not be allowed for contracts not exceeding one year (12 months).
- 2.8.8 Where contract price variation is allowed, the variation shall not exceed 10% of the original contract price

2.8.9 Price variation requests shall be processed by the procuring entity within 30 days of receiving the request.

## **2.9 Negotiations**

2.9.1 Negotiations will be held at the same address as “address to send information to the Client” indicated in the Appendix “ITC”. The aim is to reach agreement on all points and sign a contract.

2.9.2 Negotiations will include a discussion of the Technical Proposal, the proposed methodology (work plan), staffing and any suggestions made by the firm to improve the Terms of Reference. The Client and firm will then work out final Terms of Reference, staffing and bar charts indicating activities, staff periods in the field and in the head office, staff-months, logistics and reporting. The agreed work plan and final Terms of Reference will then be incorporated in the “Description of Services” and form part of the Contract. Special attention will be paid to getting the most the firm can offer within the available budget and to clearly defining the inputs required from the Client to ensure satisfactory implementation of the assignment.

2.9.3 Unless there are exceptional reasons, the financial negotiations will not involve the remuneration rates for staff (no breakdown of fees).

2.9.4 Having selected the firm on the basis of, among other things, an evaluation of proposed key professional staff, the Client expects to negotiate a contract on the basis of the experts named in the proposal. Before contract negotiations, the Client will require assurances that the experts will be actually available. The Client will not consider substitutions during contract negotiations unless both parties agree that undue delay in the selection process makes such substitution unavoidable or that such changes are critical to meet the objectives

of the assignment. If this is not the case and if it is established that key staff were offered in the proposal without confirming their availability, the firm may be disqualified.

2.9.5 The negotiations will conclude with a review of the draft form of the Contract. To complete negotiations the Client and the selected firm will initial the agreed Contract. If negotiations fail, the Client will invite the firm whose proposal received the second highest score to negotiate a contract.

2.9.6 The Client shall appoint a team for the purpose of the negotiations.

## **2.10 Award of Contract**

2.10.1 The Contract will be awarded following negotiations. After negotiations are completed, the Client will promptly notify other consultants on the shortlist that they were unsuccessful and return the Financial Proposals of those consultants who did not pass the technical evaluation.

2.10.2 The selected firm is expected to commence the assignment on the date and at the location specified in Appendix "A"

2.10.3 The parties to the contract shall have it signed within 30 days from the date of notification of contract award unless there is an administrative review request.

2.10.4 The Procuring entity may at any time terminate procurement proceedings before contract award and shall not be liable to any person for the termination.

2.10.5 The Procuring entity shall give prompt notice of the termination to the tenderers and on request give its reasons for termination within 14 days of receiving the request from any tenderer.

2.10.6 To qualify for contract awards, the tenderer shall have the following:

- (a) Necessary qualifications, capability experience, services, equipment and facilities to provide what is being procured.
- (b) Legal capacity to enter into a contract for procurement
- (c) Shall not be insolvent, in receivership, bankrupt or in the process of being wound up and is not the subject of legal proceedings relating to the foregoing.
- (d) Shall not be debarred from participating in public procurement.

## **2.11 Confidentiality**

2.11.1 Information relating to evaluation of proposals and recommendations concerning awards shall not be disclosed to the consultants who submitted the proposals or to other persons not officially concerned with the process, until the winning firm has been notified that it has been awarded the Contract.

## **2.12 Corrupt or fraudulent practices**

2.12.1 The Procuring entity requires that the consultants observe the highest standards of ethics during the selection and award of the consultancy contract and also during the performance of the assignment. The tenderer shall sign a declaration that he has not and will not be involved in corrupt or fraudulent practices.

2.12.2 The Procuring entity will reject a proposal for award if it determines that the consultant recommended for award has engaged in corrupt or fraudulent practices in competing for the contract in question.

2.12.3 Further a consultant who is found to have indulged in corrupt or fraudulent practices risks being debarred from participating in public procurement in Kenya.

## Appendix to information to consultants

The following information for procurement of consultancy services and selection of consultants shall complement or amend the provisions of the information to consultants, wherever there is a conflict between the provisions of the information and to consultants and the provisions of the appendix, the provisions of the appendix herein shall prevail over those of the information to consultants.

Clause Reference	
1.1	Invitation : <b>Firms Only</b>
2.1	The name of the Client is: <b>NATIONAL ENVIRONMENT MANAGEMENT AUTHORITY</b>
2.1.1	The method of selection is: <b>QUALITY AND COST BASED SELECTION</b>
2.1.2	Technical and Financial Proposals are requested: <b>YES</b> The name, objectives, and description of the assignment are: <b>PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT OF CORPORATE SOCIAL RESPONSIBILITY POLICY AND STRATEGY</b>
2.1.3	A pre-proposal conference will be held: <b>NO</b>
	The name(s), address (es) and telephone numbers of the Client's official(s) are:- <b>THE DIRECTOR GENERAL, NATIONAL ENVIRONMENT MANAGEMENT AUTHORITY P.O. BOX 67839-00200 TEL: 0206005522/23/26/27 POPO RD. OFF MOMBASA ROAD, NAIROBI EMAIL: <a href="mailto:dgnema@nema.go.ke">dgnema@nema.go.ke</a></b>
2.1.4	The Client will provide the following inputs: - <b><i>Current communication guiding documents</i></b>

	- <b><i>NEMA Service delivery charter</i></b>
2.1.5	The estimated number of professional staff months required for the assignment is: <b>3 MONTHS</b>
	The minimum required experience of proposed professional staff is: <b>8 YEARS FOR THE LEAD AND 5 YEARS FOR THE OTHER TWO CONSULTANTS</b>
2.1.6	Training is a specific component of this assignment: <b>YES</b>
2.1.7	Taxes: The Financial Proposal should include VAT, Consultancy tax, and any other taxes, fees, levies and charges imposed under the law on consultants , the sub consultants and their personnel
2.5.2	Consultants must submit an original and <b>TWO (2)</b> additional copies of each proposal.
2.5.3	<p>The proposal submission address is</p> <p><b>THE DIRECTOR GENERAL, NATIONAL ENVIRONMENT MANAGEMENT AUTHORITY P.O. BOX 67839-00200 TEL: 0206005522/23/26/27 POPO RD. OFF MOMBASA ROAD, NAIROBI EMAIL: <a href="mailto:dgnema@nema.go.ke">dgnema@nema.go.ke</a></b></p> <p>Information on the outer envelope should also include</p> <p><b>REQUEST FOR PROPOSAL FOR PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT OF CORPORATE SOCIAL RESPONSIBILITY POLICY AND STRATEGY TENDER NO.NEMA/RFP/031/2019-2020</b></p>
2.5.4	Proposals must be submitted no later than <b>03<sup>RD</sup> JUNE, 2020 at 11.00AM</b>

2.6.1	<p>The address to send information to the Client is</p> <p><b>THE DIRECTOR GENERAL,</b></p> <p><b>NATIONAL ENVIRONMENT MANAGEMENT AUTHORITY</b></p> <p><b>P.O. BOX 67839-00200</b></p> <p><b>TEL: 0206005522/23/26/27</b></p> <p><b>POPO RD. OFF MOMBASA ROAD, NAIROBI</b></p> <p><b>EMAIL: <a href="mailto:dgnema@nema.go.ke">dgnema@nema.go.ke</a></b></p>
2.6.3	<i>The minimum technical score required to pass: <b>70 POINTS</b></i>
2.7.1	<p><i>Alternative formulae for determining the financial scores is the following:</i></p> <p style="text-align: center;"><i>The weights given to the Technical and Financial Proposals are:</i></p> <p style="text-align: center;"><i>T= 0.8</i></p> <p style="text-align: center;"><i>P= 0.2</i></p>
2.9.2	<i>The assignment is expected to commence by: <b>JUNE 2020</b></i>

## EVALUATION CRITERIA

### STAGE I : MANDATORY REQUIREMENT

No	Requirements	YES (√)	NO (x)
1	Copy of Certificate of Incorporation		
2	Duly Filled Confidential Business Questionnaire		
3	Supply of Goods/Services on Credit		
4	Valid Tax Compliance Certificate		
5	Copy of CR 12 (List of directors)		
6	Duly filled Form of Tender		

**NB: For NGOs, Societies and such firms, where Tax Compliance and Certificate of Incorporation isn't applicable, the bidder will be required to provide the registration documents for the firm and any other equivalent applicable requirement in the mandatory requirements.**

At this stage the tenderer's submission will either be responsive or non-responsive. The non-responsive submissions will be eliminated from the entire evaluation process and will not be considered further.



## Summary sheet

Scoring Criteria		Maximum possible Score	Bidder's Score
Description			
1.	<b>Specific Experience of the firm Related to the Assignment</b>	25	
2.	<b>Adequacy of the proposed work plan and methodology in responding to the Terms of Reference</b>	40	
3.	<b>Qualifications and competence of the key staff for the assignment (40 points)</b>	35	
<b>TOTAL</b>		<b>100</b>	

### STAGE II : Technical Evaluation – Pass Mark is 70%

No.	Criteria	Max. Score	Score by evaluator	Evaluator's remarks
1	<b>Specific experience of the firm related to the assignment</b>			
	a) Brief description of the following:-			
	❖ Description of the consultancy / organization profile indicating their suitability to carry out this specific assignment	3		
	❖ Description of technical capabilities and resources to carry out this specific assignment	3		
	❖ Experience to carry out this specific assignment	4		
<b>SUB-TOTAL</b>		<b>10</b>		
	b) Number of consultancies relating to <b>DEVELOPMENT OF CORPORATE SOCIAL</b>			

	<b>RESPONSIBILITY POLICY AND STRATEGY</b> or any other related consultancies undertaken in the last ten years. List the names of the organizations where the relevant assignment(s) were carried out and provide dates and attach documentary evidence i.e. copies of contracts, recommendation letters and contact persons			
	❖ 5 Projects and above	15		
	❖ 4 Projects	10		
	❖ 3 Projects	5		
	❖ 2-1 Projects	1		
<b>SUB-TOTAL</b>		<b>15</b>		
<b>TOTAL EXPERIENCE</b>			<b>25</b>	
2	<b>Adequacy of the proposed work plan and methodology in responding to the terms of reference</b>			
	❖ Understanding of and conformity to the terms of reference <ul style="list-style-type: none"> <li>✓ Clarity of scope of work</li> <li>✓ Clearly explained procedure /process</li> <li>✓ Theoretical/conceptual framework</li> <li>✓ Clearly defined inputs and outputs</li> <li>✓ Stakeholder consultations</li> <li>✓ Data analysis and interpretation</li> </ul>	18		
	❖ Appropriateness of the methodology and work plans, and the completeness of the description of the same in relation to the ToRs.	12		
	❖ Detailed work plan. A clear road map on achieving the deliverables.	10		
<b>Total Approach And Methodology award</b>			<b>40</b>	
3	<b>Qualifications and competence of the key staff for the assignment</b>			

	<b>a) Lead Consultant</b>		
	❖ Master's Degree in Business Management/Strategic communications/Development Communication	5	
	❖ Experience		
	✓ Above 8 years	5	
	✓ 4 - 8 years	3	
	✓ 1 – 3 years	2	
	❖ Similar assignments / projects		
	✓ Above 5 projects	5	
	✓ 3 – 5 projects	3	
	✓ 1 – 2 projects	2	
	<b>b) Consultant 1 (Communication)</b>		
	❖ Bachelor's Degree ( <b>Degree in any Social Sciences, Strategic management, Business Management</b> )	4	
	❖ Experience		
	✓ Above 5 Years	3	
	✓ 3 – 5 years	2	
	✓ 1 -2 years	1	
	❖ Similar assignments / projects		
	✓ Above 3 projects	3	
	✓ 3 projects	2	
	✓ 1 – 2 projects	1	
	<b>c) Consultant 2 (Research)</b>		

❖ Bachelor's Degree ( <b>Degree in any Social Sciences, Strategic management, Business Management</b> )	4		
❖ Experience			
✓ Above 5 Years	3		
✓ 3 – 5 years	2		
✓ 1- 2 years	1		
❖ Similar assignments / projects			
✓ Above 3 projects	3		
✓ 3 projects	2		
✓ 1 – 2 projects	1		
<b>TOTAL QUALIFICATIONS</b>	<b>35</b>		
<b>GRAND TOTAL</b>		<b>100</b>	

**Note: Only Tenderers scoring 70% and above of the total technical score (stage two) shall proceed to stage three for Financial Evaluation.**

### **STAGE III : Financial Evaluation**

Reference to Paragraph 2.8.5, the formulae for determining the Financial Score (Sf) shall, unless an alternative formulae is indicated in the Appendix "ITC", be as follows:-

**$Sf = 100 \times \frac{Fm}{F}$**  where Sf is the financial score; Fm is the lowest priced financial proposal and F is the price of the proposal under consideration. Proposals will be ranked according to their combined technical (St) and financial (Sf) scores using the weights (T=the weight given to the Technical Proposal; P = the weight given to the Financial Proposal; T + p = 1) indicated in the Appendix. The combined technical and financial score, S, is calculated as follows: -  **$S = St \times T \% + Sf \times P \%$** . The firm achieving the highest combined technical and financial score will be invited for negotiations.

### **SECTION III : TECHNICAL PROPOSAL**

## **Notes on the preparation of the Technical Proposals**

- 3.1 In preparing the technical proposals the consultant is expected to examine all terms and information included in the RFP. Failure to provide all requested information shall be at the consultants own risk and may result in rejection of the consultant's proposal.
- 3.2 The technical proposal shall provide all required information and any necessary additional information and shall be prepared using the standard forms provided in this Section.
- 3.3 The Technical proposal shall not include any financial information unless it is allowed in the Appendix to information to the consultants or the Special Conditions of contract.

## **Table of Contents**

1.	Technical proposal submission form
2.	Firms references
3.	Comments and suggestions of consultants on the Terms of reference and on data, services and facilities to be provided by the Procuring entity
4.	Description of the methodology and work plan for performing the assignment
5.	Team composition and Task assignments
6.	Format of curriculum vitae (CV) for proposed Professional staff
7.	Time schedule for professional personnel
8.	Activity (work schedule)

**1. TECHNICAL PROPOSAL SUBMISSION FORM**

[\_\_\_\_\_ Date]

To: \_\_\_\_\_ [Name and address of Client]

Ladies/Gentlemen:

We, the undersigned, offer to provide the consulting services for

\_\_\_\_\_  
[Title of consulting services] in accordance with your Request for Proposal dated \_\_\_\_\_ [Date] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal, [and a Financial Proposal sealed under a separate envelope-where applicable].

We understand you are not bound to accept any Proposal that you receive.

We remain,

Yours sincerely,

\_\_\_\_\_ [Authorized Signature]:

\_\_\_\_\_ [Name and Title of Signatory]

\_\_\_\_\_ [Name of Firm]

\_\_\_\_\_ [Address:]

## 2. FIRM'S REFERENCES

### Relevant Services Carried Out in the Last Ten Years That Best Illustrate Qualifications

Using the format below, provide information on each assignment for which your firm either individually, as a corporate entity or in association, was legally contracted.

Assignment Name:	Country
Location within Country:	Professional Staff provided by Your Firm/Entity(profiles):
Name of Client:	Clients contact person for the assignment.
Address:	No of Staff-Months; Duration of Assignment:
Start Date (Month/Year):	Completion Date (Month/Year): Approx. Value of Services (Kshs)
Name of Associated Consultants. If any:	No of Months of Professional Staff provided by Associated Consultants:
Name of Senior Staff (Project Director/Coordinator, Team Leader) Involved and Functions Performed:	
Narrative Description of project:	
Description of Actual Services Provided by Your Staff:	

Firm's Name: \_\_\_\_\_

Name and title of signatory; \_\_\_\_\_

*(May be amended as necessary)*

**3. COMMENTS AND SUGGESTIONS OF CONSULTANTS ON THE TERMS OF REFERENCE AND ON DATA, SERVICES AND FACILITIES TO BE PROVIDED BY THE CLIENT.**

---

On the Terms of Reference:

- 1.
- 2.
- 3.
- 4.
- 5.

On the data, services and facilities to be provided by the Client:

- 1.
- 2.
- 3.
- 4.
- 5.



**4. DESCRIPTION OF THE METHODOLOGY AND WORK PLAN FOR  
PERFORMING THE ASSIGNMENT**

---

## 5. TEAM COMPOSITION AND TASK ASSIGNMENTS

### i) Technical/Managerial Staff

Name	Position	Task

### ii) Support Staff

Name	Position	Task

**6. FORMAT OF CURRICULUM VITAE (CV) FOR PROPOSED PROFESSIONAL STAFF**

Proposed Position:

---

Name of Firm:

---

Name of Staff:

---

Profession:

---

Date of Birth:

---

Years with Firm: \_\_\_\_\_ Nationality: \_\_\_\_\_

Membership in Professional Societies:

---

Detailed Tasks Assigned:

---

**Key Qualifications:**

*[Give an outline of staff member's experience and training most pertinent to tasks on assignment. Describe degree of responsibility held by staff member on relevant previous assignments and give dates and locations].*

---

**Education:**

*[Summarize college/university and other specialized education of staff member, giving names of schools, dates attended and degree[s] obtained.]*

---

**Employment Record:**

*[Starting with present position, list in reverse order every employment held. List all positions held by staff member since graduation, giving dates, names of employing organizations, titles of positions held, and locations of assignments.]*

---

**Certification:**

I, the undersigned, certify that these data correctly describe me, my qualifications, and my experience.

\_\_\_\_\_ Date:

\_\_\_\_\_  
*[Signature of staff member]*

\_\_\_\_\_ Date;

\_\_\_\_\_  
*[Signature of authorized representative of the firm]*

Full name of staff member:

\_\_\_\_\_

Full name of authorized representative:

\_\_\_\_\_

**7. TIME SCHEDULE FOR PROFESSIONAL PERSONNEL**

Name	Position	Reports Due/ Activities	Months (in the Form of a Bar Chart)												Number of months		
			1	2	3	4	5	6	7	8	9	10	11	12			

Reports Due: \_\_\_\_\_

Activities Duration: \_\_\_\_\_

Signature: \_\_\_\_\_  
(Authorized representative)

Full Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

## 8. ACTIVITY (WORK) SCHEDULE

### (a). Field Investigation and Study Items

*[1<sup>st</sup>, 2<sup>nd</sup>, etc, are months from the start of assignment)*

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>	6 <sup>th</sup>	7 <sup>th</sup>	8 <sup>th</sup>	9 <sup>th</sup>	10 <sup>th</sup>	11 <sup>th</sup>	12 <sup>th</sup>	
Activity (Work)													
_____													
_____													
_____													
_____													

### (b). Completion and Submission of Reports

Reports	Date
1. Inception Report	
2. Interim Progress Report (a) First Status Report (b) Second Status Report	
3. Draft Report	
4. Final Report	

## **SECTION IV: - FINANCIAL PROPOSAL**

### Notes on preparation of Financial Proposal

- 4.1 The Financial proposal prepared by the consultant should list the costs associated with the assignment. These costs normally cover remuneration for staff, subsistence, transportation, services and equipment, printing of documents, surveys etc as may be applicable. The costs should be broken down to be clearly understood by the Procuring entity.
- 4.2 The financial proposal shall be in Kenya Shillings or any other currency allowed in the request for proposal and shall take into account the tax liability and cost of insurances specified in the request for proposal.
- 4.3 The financial proposal should be prepared using the Standard forms provided in this part

## **SECTION IV - FINANCIAL PROPOSAL STANDARD FORMS**

### **Table of Contents**

1. Financial proposal submission Form
2. Summary of costs
3. Breakdown of price/per activity
4. Breakdown of remuneration per activity
5. Reimbursables per activity
6. Miscellaneous expenses



**1. FINANCIAL PROPOSAL SUBMISSION FORM**

\_\_\_\_\_ [ Date]

To: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
*[Name and address of Client]*

Ladies/Gentlemen:

We, the undersigned, offer to provide the consulting services for ( \_\_\_\_\_ )  
*[Title of consulting services]* in accordance with your Request for Proposal dated  
( \_\_\_\_\_ ) *[Date]* and our Proposal. Our attached Financial Proposal is for  
the sum of ( \_\_\_\_\_ )  
*[Amount in words and figures]* inclusive of the taxes.

We remain,

Yours sincerely,

\_\_\_\_\_ *[Authorized Signature]*

\_\_\_\_\_ *[Name and Title of Signatory]*

\_\_\_\_\_ *[Name of Firm]*

\_\_\_\_\_ *[Address]*

## 2. SUMMARY OF COSTS

Costs	Currency(ies)	Amount(s)
Subtotal		
Taxes		
Total Amount of Financial Proposal		<hr/>

### 3. BREAKDOWN OF PRICE PER ACTIVITY

Activity NO.: _____	Description: _____
Price Component	Amount(s)
Remuneration	
Reimbursables	
Miscellaneous Expenses	
Subtotal	_____

### 4. BREAKDOWN OF REMUNERATION PER ACTIVITY

NATIONAL ENVIRONMENT MANAGEMENT AUTHORITY - 2020

Activity No. _____ Name: _____				
Names	Position	Input (Staff months, days or hours as appropriate)	Remuneration rate	Amount
Regular staff				
i)				
ii)				
Consultants				
Grand total				

**5. REIMBURSABLES PER ACTIVITY**

No.	Description	Unit	Quantity	Unit Price	Total Amount
1.	Air travel	Trip			
2	Road travel	Kms			
3.	Rail travel	Kms			
4.	Subsistence Allowance	Day			
	Grand Total				

## 6. MISCELLANEOUS EXPENSES

Activity No. \_\_\_\_\_ Activity Name: \_\_\_\_\_

No.	Description	Unit	Quantity	Unit Price	Total Amount
1.	Communication costs_____ <hr/> (telephone, telegram, telex)				
2.	Drafting, reproduction of reports				
3.	Equipment: computers etc.				
4.	Software				
	Grand Total				<hr/>

## **SECTION V: - TERMS OF REFERENCE**

### **CONSULTANCY SERVICES FOR DEVELOPMENT OF CORPORATE SOCIAL RESPONSIBILITY POLICY AND STRATEGY**

#### **SECTION II: - INFORMATION TO CONSULTANTS (ITC)**

##### **Introduction**

The National Environment Management Authority is established under Environmental Management and Co-ordination Act, Cap 387. The Authority has a wide mandate of exercising general supervision and coordination over all matters relating to the environment and to be the principal instrument of the Government of Kenya in the implementation of all policies relating to the environment

Corporate social responsibility is deeply embedded and aligned with our culture of service at NEMA. We are dedicated to operating a responsible business that respects our stakeholders and our environment, all with an eye on the long term.

That means committing ourselves to the principle of sustaining a better environment in a careful and disciplined way that allows us and our communities to thrive today and well into the future.

NEMA operates within an environment which it must be willing to respect, if we want to enjoy positive relationships with the communities in which we operate in and to be treated differently and respectfully. This will lead to general goodwill and local support from the community and from County and National governments. For the Authority to succeed there is need to work out the best ways of developing and nurturing community expectations in community involvement programmes that mutually support the community and the Authority goals.

Organizations have the social responsibility of planning and managing its relationships with the community around them. Many successful organizations spend a lot of time and money fulfilling their social responsibilities which help them raise their profile and improve their reputation through being associated with good works.

NEMA has always taken into account the effects of operations on the physical environment and seeks to minimize the negative effects e.g. by containing pollution, managing issues of waste, as well as safeguarding the environment as a whole. The Authority seeks to go a little further and participate in society's development.

It is for this reason, that NEMA requires a CSR policy and strategy to meet part of the Authority's mandate. The policy framework shall be developed taking cognizance of the principle of participation and inclusion of all stakeholders within environmental concerns.

### **Objective**

The main objective of the consultancy is to develop NEMA Corporate Social Responsibility Policy and strategy.

### **Scope of works**

1. Assess and evaluate the performance of the Authority's current CSR. Programme (Services, decision-making processes and activities).
2. Develop a CSR Policy and Strategy.
3. Develop CSR Implementation Plan.
4. Develop a CSR Monitoring and Evaluation Framework.

### **Deliverables**

1. The work plan for the assignment should be submitted not later than three (5) days from commencement of the assignment;
2. CSR Policy and Strategy.
3. CSR Implementation Plan.
4. CSR Monitoring and Evaluation Framework.



## **STAFF REQUIREMENTS AND QUALIFICATIONS**

- a) To achieve the stated objective, NEMA wishes to engage a qualified and experienced communication firm to execute the assignment on consultancy contract basis. The selected consultancy firm shall have a proven track record and existence in planning and design of communication/development related strategies. The firm shall have good knowledge and understanding in working with public institutions in implementation of communication strategy in Kenya or within the region.
- b) The consultant's core team must possess the skills and expertise necessary for an efficient and effective delivery of outputs. While the range of required skills and expertise is by necessity extensive, it is expected that the core team will be compact and comprise well-credentialed and experienced multi-skilled individuals.
- c) The core team shall include, inter alia, expertise in the disciplines listed below. Other expertise deemed necessary, by the consultants, for the efficient conduct of this consultancy may be added to his staff for the assignment
  - 1. Team leader – Masters in Communication who will oversee development of the communication strategy. Must have a minimum of five years gained at the national level in designing, implementation communication programs with demonstrated results in the public development, technical and social sectors.
  - 2. Communication consultant – Bachelors in communication, minimum of 5 years working in public and social sector issues

3. Research Consultant – Relevant Degree – with extensive experience gained designing and overseeing research projects to inform communication programmes

d) In making the proposal on required staff, the consultant is expected to assess the capacity requirement on the basis of the objectives, scope, specific tasks and the expected outputs of the assignment as described in the TORs.

### **REPORTING REQUIREMENTS**

The Consultant shall provide a proposed program for executing the consultancy, with specific milestones clearly marked on it. The estimated time duration for the consultancy is four (4) calendar months. The schedule of reports is in the table below:-

<b>Deliverable/Report</b>	<b>Timing</b>	<b>Remarks</b>
Inception Report	30 days from commencement of assignment	1 original and 2 copies
Draft CSR Policy and Strategy, plan and M & E framework	90 days from commencement of assignment	1 original and 2 copies
Final CSR Policy and Strategy, plan and M & E framework	120 days from commencement of assignment	1 original, 5 copies and soft copy in a <b>2GB Flash disc</b>

**PERSONNEL, FACILITIES AND OTHER REQUIREMENTS TO BE PROVIDED BY THE PROCURING ENTITY**

NEMA shall not in any way facilitate the study and the consultant will be expected to meet all costs during the study.

**TERMS OF PAYMENT**

Payments shall be made as follows:

- i. 15% on submission of Inception reports.
- ii. 30% on submission of Draft CSR Policy and Strategy, plan and M & E framework
- iii. 55% on submission of Final CSR Policy and Strategy, plan and M & E framework

**MANDATORY CONFIDENTIAL BUSINESS QUESTIONNAIRE FORM**

You are requested to give the particulars indicated in Part 1; either Part 2(a), 2(b) or 2 (c) whichever applied to your type of business; and Part 3.

***You are advised that it is a serious offence to give false information on this form.***

	<b>Part 1 – General</b>
1.1	Business Name ..... Certificate of Incorporation No..... Physical location of Business Premises..... Country.....Town.....Building..... Floor..... Plot No..... Street/Road..... Postal Address..... Postal Code..... Telephone No..... Email..... Website..... Contact Person; Name..... Direct/Mobile No..... Title..... Power of Attorney (YES/NO)..... If yes, attach written document Nature of the business (indicate whether manufacturer, distributor, etc).....
1.2	<b>Applicable to local suppliers only</b> Local Authority Trading License No..... Expiry.....

	<p>Value of the largest single assignment you have undertaken to date (USD/KES).....</p> <p>Was this successfully undertaken? YES/NO..... If yes, attach reference.</p> <p>Name of your banker..... Branch.....</p>												
1.3	<b>Part 2 (a) – Sole proprietorship (if applicable)</b>												
	<p>Full Names.....</p> <p>Nationality..... Country of Origin.....</p> <p>Company profile (attach).....</p>												
1.4	<b>Part 2 (b) – Partnership (if applicable)</b>												
	<p>Give details of partners as follows;</p> <table border="0"> <thead> <tr> <th>Full Names</th> <th>Nationality</th> <th>Citizenship details</th> <th>Shares</th> </tr> </thead> <tbody> <tr> <td>1.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>2. ....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> </tbody> </table> <p>Company profile (attach).....</p>	Full Names	Nationality	Citizenship details	Shares	1.....	.....	.....	.....	2. ....	.....	.....	.....
Full Names	Nationality	Citizenship details	Shares										
1.....	.....	.....	.....										
2. ....	.....	.....	.....										
1.5	<b>Part 2 (c) – Registered Company (if applicable – as per CR12 form)</b>												
	<p>Public or private company.....</p> <p>Company profile (attach)</p> <p>State the nominal and the issued capital of the company</p> <p>Nominal.....</p>												

	<p>Issued.....</p> <p>Shareholding in the company. Give details as follows; (if the directors are more than 5, list the top five)</p> <table border="1"> <thead> <tr> <th data-bbox="264 384 643 422">Full Names</th> <th data-bbox="643 384 976 422">Nationality</th> <th data-bbox="976 384 1308 422">Citizenship details</th> <th data-bbox="1308 384 1503 422">Shares</th> </tr> </thead> <tbody> <tr> <td data-bbox="264 464 643 501">1.....</td> <td data-bbox="643 464 976 501">.....</td> <td data-bbox="976 464 1308 501">.....</td> <td data-bbox="1308 464 1503 501">.....</td> </tr> <tr> <td data-bbox="264 543 643 581">2. ....</td> <td data-bbox="643 543 976 581">.....</td> <td data-bbox="976 543 1308 581">.....</td> <td data-bbox="1308 543 1503 581">.....</td> </tr> <tr> <td data-bbox="264 623 643 661">3.....</td> <td data-bbox="643 623 976 661">.....</td> <td data-bbox="976 623 1308 661">.....</td> <td data-bbox="1308 623 1503 661">.....</td> </tr> <tr> <td data-bbox="264 703 643 741">4. ....</td> <td data-bbox="643 703 976 741">.....</td> <td data-bbox="976 703 1308 741">.....</td> <td data-bbox="1308 703 1503 741">.....</td> </tr> <tr> <td data-bbox="264 783 643 821">5.....</td> <td data-bbox="643 783 976 821">.....</td> <td data-bbox="976 783 1308 821">.....</td> <td data-bbox="1308 783 1503 821">.....</td> </tr> </tbody> </table>	Full Names	Nationality	Citizenship details	Shares	1.....	.....	.....	.....	2. ....	.....	.....	.....	3.....	.....	.....	.....	4. ....	.....	.....	.....	5.....	.....	.....	.....
Full Names	Nationality	Citizenship details	Shares																						
1.....	.....	.....	.....																						
2. ....	.....	.....	.....																						
3.....	.....	.....	.....																						
4. ....	.....	.....	.....																						
5.....	.....	.....	.....																						
	<p><b>Part 3 – Eligibility Status</b></p>																								
<p>3.1</p>	<p>Are you related to an Employee, Committee Member or Board Member of National Environment Management Authority? Yes _____ No _____</p>																								
<p>3.2</p>	<p>If answer in '3.1' is <b>YES</b> give the relationship.</p> <p>.....</p> <p>.....</p> <p>.....</p>																								
<p>3.5</p>	<p>Has your Organization, Subsidiary Joint Venture or Sub-contractor been involved in the past directly or indirectly with a firm or any of its affiliates that have been engaged by National Environment Management Authority to provide consulting services for preparation of design, specifications and other documents to be used for procurement of the goods under this invitation? Yes _____ No _____</p>																								
	<p>If answer in '3.1' is <b>YES</b> give the relationship.</p>																								

	<p>.....</p> <p>.....</p> <p>.....</p>
3.7	<p>Are you under a declaration of ineligibility for corrupt and fraudulent practices?          YES_____ No_____</p>
3.8	<p>If answer in '3.7' above is <b>YES</b> give details:</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p><b>Declaration</b></p>	
	<p>I DECLARE that the information given on this form is correct to the best of my knowledge and belief.</p>
	<p>Date ..... Signature of Candidate .....</p>

In filling the Confidential Business Questionnaire, if a Kenya Citizen, indicate under "Citizenship Details" whether by Birth, Naturalization or registration.

**ANNEX 2**

**LETTER OF NOTIFICATION OF AWARD**

Address of Procuring Entity

\_\_\_\_\_

To: \_\_\_\_\_

RE: Tender No. \_\_\_\_\_

Tender Name \_\_\_\_\_

This is to notify that the contract/s stated below under the above mentioned tender have been awarded to you.

\_\_\_\_\_

1. Please acknowledge receipt of this letter of notification signifying your acceptance.
2. The contract/contracts shall be signed by the parties within 30 days of the date of this letter but not earlier than 14 days from the date of the letter.
3. You may contact the officer(s) whose particulars appear below on the subject matter of this letter of notification of award.

*(FULL PARTICULARS)* \_\_\_\_\_

**SIGNED FOR ACCOUNTING OFFICER**



## **ANNEX 3**

### **SECTION VI:**

#### **STANDARD FORMS OF CONTRACT**

- a) ANNEX I – LARGE ASSIGNMENTS (LUMP-SUM PAYMENTS)
- b) ANNEX II – LARGE AND SMALL ASSIGNMENTS (TIME -BASED PAYMENTS)
- c) ANNEX III – SMALL ASSIGNMENTS (LUMP-SUM PAYMENTS)

#### **NOTES**

1. LARGE ASSIGNMENT - Exceeding Ksh 5,000,000
2. SMALL ASSIGNMENT - Not exceeding Ksh. 5,000,000
3. TIME BASED PAYMENT - Time based fixed fee exact duration of contract not fixed
4. LUMP-SUM PAYMENT - Stated fixed contract sum.

**REPUBLIC OF KENYA**

**STANDARD FORM OF CONTRACT**

**FOR**

**CONSULTING SERVICES**

**Large Assignments  
(Lump- Sum payment)**

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## **Special Notes**

1. The Lump-Sum price is arrived at on the basis of inputs – including rates – provided by the Consultant. The Client agrees to pay the Consultant according to a schedule of payments linked to the delivery of certain outputs, usually reports. Lump-sum contracts have the simplicity of administration, the Client having only to be satisfied with the outputs without monitoring the staff inputs and should be used for large Assignments in for example Design; Engineering; Supervision and Management Services; Master plans; Economic and Feasibility studies; and Surveys.
2. The Contract includes four parts: Form of Contract, the General Conditions of Contract, the Special Conditions of Contract and the Appendices. The Client using this standard contract should not alter the General Conditions. Any adjustment to meet any specific project features should be made only in the Special Conditions.

**CONTRACT FOR CONSULTANT'S SERVICES**

**Large Assignments (Lump-Sum Payments)**

Between

---

*[Name of the Client]*

AND

---

*[Name of the Consultant]*

Dated: \_\_\_\_\_ *[date]*

**I. FORM OF CONTRACT**  
**Large Assignments (Lump-Sum Payments)**

This Agreement (hereinafter called the "Contract") is made the \_\_\_\_\_) day of the month of \_\_\_\_\_ [month], [year], between \_\_\_\_\_, [name of client] of [or whose registered office is situated at] \_\_\_\_\_ [location of office] (hereinafter called the "Client") of the one part AND

\_\_\_\_\_ [name of consultant] of [or whose registered office is situated at] \_\_\_\_\_ [location of office] (hereinafter called the "Consultant") of the other part.

**WHEREAS**

- (a) the Client has requested the Consultant to provide certain consulting services as defined in the General Conditions of Contract attached to this Contract (hereinafter called the "Services");
- (b) the Consultant, having presented to the Client that he has the required professional skills and personnel and technical resources, have agreed to provide the Services on the terms and conditions set forth in this Contract;

**NOW THEREFORE** the Parties hereto hereby agree as follows:

- 1. The following documents attached hereto shall be deemed to form an integral part of this Contract:
  - (a) The General Conditions of Contract;
  - (b) The Special Conditions of Contract;

2. The mutual rights and obligations of the Client and the Consultants shall be as set forth in the Contract; in particular:
- (a) The Consultant shall carry out the Services in accordance with the provisions of the Contract; and
  - (b) The Client shall make payments to the Consultant in accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names as of the day and year first above written.

For and on behalf of \_\_\_\_\_ *[name of client]*

*[Full name of Client's authorized representative]* \_\_\_\_\_

*[Title]* \_\_\_\_\_

*[Signature]* \_\_\_\_\_

*[Date]* \_\_\_\_\_

For and on behalf of \_\_\_\_\_ *[name of consultant]*

*[Full name of Consultant's authorized representative]* \_\_\_\_\_

*[Title]* \_\_\_\_\_

*[Signature]* \_\_\_\_\_

*[Date]* \_\_\_\_\_



## **II. GENERAL CONDITIONS OF CONTRACT**

### **1. GENERAL PROVISIONS**

#### **1.1 Definitions**

Unless the context otherwise requires, the following terms whenever used in this Contract shall have the following meanings:

- (a) “Applicable Law” means the laws and any other instruments having the force of law in the Republic of Kenya as they may be issued and in force from time to time;
- (b) “Contract” means the Contract signed by the Parties, to which these General Conditions of Contract (GC) are attached together with all the documents listed in Clause 1 of such signed Contract;
- (c) “Contract Price” means the price to be paid for the performance of the Services in accordance with Clause 6 here below;
- (d) “Foreign Currency” means any currency other than the Kenya Shilling;
- (e) “GC” means these General Conditions of Contract;
- (f) “Government” means the Government of the Republic of Kenya;
- (g) “Local Currency” means the Kenya Shilling;
- (h) “Member”, in case the Consultant consists of a joint venture of more than one entity, means any of these entities; “Members” means all these entities, and “Member in Charge” means the entity specified in

the SC to act on their behalf in exercising all the Consultant's rights and obligations towards the Client under this Contract;

- (i) "Party" means the Client or the Consultant, as the case may be and "Parties" means both of them;
- (j) "Personnel" means persons hired by the Consultant or by any Sub-consultant as employees and assigned to the performance of the Services or any part thereof;
- (k) "SC" means the Special Conditions of Contract by which the GC may be amended or supplemented;
- (l) "Services" means the work to be performed by the Consultant pursuant to this Contract, as described in Appendix A; and
- (m) "Sub consultant" means any entity to which the Consultant subcontracts any part of the Services in accordance with the provisions of Clauses 3 and 4.

**1.2 Law Governing  
the Contract**

This Contract, its meaning and interpretation and the relationship between the Parties shall be governed by the Laws of Kenya.

**1.3 Language**

This Contract has been executed in English language which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

**1.4 Notices**

Any notice, request, or consent made pursuant to this Contract shall be in writing and shall be deemed to have been made when delivered in person to an authorized

representative of the Party to whom the communication is addressed or when sent by registered mail, telex, telegram or facsimile to such Party at the address specified in the SC.

**1.5 Location**

The Services shall be performed at such locations as are specified in Appendix A and, where the location of a particular task is not so specified, at such locations, whether in the Republic of Kenya or elsewhere, as the Client may approve.

**1.6 Authorized  
Representatives**

Any action required or permitted to be taken and any document required or permitted to be executed under this Contract by the Client or the Consultant may be taken or executed by the officials specified in the SC.

**1.7 Taxes and  
Duties**

The Consultant, Sub consultant[s] and their personnel shall pay such taxes, duties, fees and other impositions as may be levied under the Laws of Kenya, the amount of which is deemed to have been included in the Contract Price.

**2. COMMENCEMENT, COMPLETION, MODIFICATION AND  
TERMINATION OF CONTRACT**

**2.1 Effectiveness of  
Contract**

This Contract shall come into effect on the date the contract is signed by both parties or such other later date as may be stated in the SC.

**2.2 Commencement of Services** The Consultant shall begin carrying out the Services thirty (30) days after the date the Contract becomes effective or at such other date as may be specified in the SC.

**2.3 Expiration of Contract** Unless terminated earlier pursuant to Clause 2.6, this Contract shall terminate at the end of such time period, after the Effective Date, as is specified in the SC.

**2.4 Modification** Modification of the terms and Conditions of this Contract, including any modification of the scope of the Services or the Contract Price, may only be made by written agreement between the Parties.

**2.5 Force Majeure**

**2.5.1 Definition** For the purposes of this Contract, “Force Majeure” means an event which is beyond the reasonable control of a Party and which makes a Party’s performance of its obligations under the Contract impossible or so impractical as to be considered impossible under the circumstances.

**2.5.2 No Breach of Contract** The failure of a Party to fulfill any of its obligations under the Contract shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event (a) has taken all reasonable precautions, due care and reasonable alternative measures in order to carry out the terms and conditions of

this Contract, and (b) has informed the other Party as soon as possible about the occurrence of such an event.

**2.5.3 Extension Of Time** Any period within which a Party shall, pursuant to this Contract complete any action or task shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

**2.5.4 Payments** During the period of his inability to perform the Services as a result of an event of Force Majeure, the Consultant shall be entitled to continue to be paid under the terms of this Contract, as well as to be reimbursed for additional costs reasonably and necessarily incurred by him during such period for the purposes of the Services and in reactivating the Service after the end of such period.

## **2.6 Termination**

**2.6.1 By the Client** The Client may terminate this Contract by not less than thirty (30) days' written notice of termination to the Consultant, to be given after the occurrence of any of the events specified in this Clause;

(a) if the Consultant does not remedy a failure in the performance of his obligations under the Contract within thirty (30) days after being notified or within any further period as the Client may have subsequently approved in writing;

(b) if the Consultant becomes insolvent or bankrupt;

- (c) if, as a result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) days; or
- (d) if the Consultant, in the judgement of the Client, has engaged in corrupt or fraudulent practices in competing for or in executing the Contract.

For the purpose of this clause;

**“Corrupt practice”** means the offering, giving, receiving or soliciting of anything of value to influence the action of a public official in the selection process or in Contract execution.

**“fraudulent practice”** means a misrepresentation of facts in order to influence a selection process or the execution of Contract to the detriment of the Client, and includes collusive practice among consultants (prior to or after submission of proposals) designed to establish prices at artificial non-competitive levels and to deprive the Client of the benefits of free and open competition.

- (e) if the Client in his sole discretion decides to terminate this Contract.

**2.6.2 By the Consultant** The Consultant may terminate this Contract by not less than thirty (30) days' written notice to the Client, such notice to be given after the occurrence of any of the following events;

- (a) if the Client fails to pay any monies due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clause 7 within sixty (60) days after receiving written notice from the Consultant that such payment is overdue; or
- (b) if, as a result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) days.

**2.6.3 Payment upon Termination** Upon termination of this Contract pursuant to Clauses 2.6.1 or 2.6.2, the Client shall make the following payments to the Consultant:

- (a) remuneration pursuant to Clause 6 for Services satisfactorily performed prior to the effective date of termination;
- (b) except in the case of termination pursuant to paragraphs (a) and (b) of Clause 2.6.1, reimbursement of any reasonable costs incident to the prompt and orderly termination of the Contract, including the cost of the return travel of the Personnel and their eligible dependents.

### **3. OBLIGATIONS OF THE CONSULTANT**

#### **3.1 General**

The Consultant shall perform the Services and carry out his obligations with all due diligence, efficiency and economy in accordance with generally accepted professional techniques and practices and shall observe sound management practices, and employ appropriate advanced technology and safe methods. The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as faithful adviser to the Client and shall at all times support and safeguard the Client's legitimate interests in any dealing with Sub consultants or third parties.

#### **1.2 Conflict of Interests**

- 3.2.1 Consultant** (i) The remuneration of the Consultant pursuant to  
**Not to** Clause 6 shall constitute the Consultant's sole  
**Benefit from** remuneration in connection with this Contract or  
**Commissions,** the Services and the Consultant shall not accept  
**Discounts,** for his own benefit any trade commission,  
**Etc.** discount or similar payment in connection with  
activities pursuant to this Contract or to the Services  
or in the discharge of his obligations under the  
Contract and the Consultant shall use his best efforts  
to ensure that his personnel, any sub consultant[s]  
and agents of either of them similarly shall not  
receive any such additional remuneration.
- (ii) For a period of two years after the expiration of this  
Contract, the Consultant shall not engage and shall  
cause his personnel as well as his sub consultant[s]



and his/their personnel not to engage in the activity of a purchaser (directly or indirectly) of the assets on which he advised the Client on this Contract nor shall he engage in the activity of an adviser (directly or indirectly) of potential purchasers of such assets.

- (iii) Where the Consultant as part of the Services has the responsibility of advising the Client on the procurement of goods, works or services, the Consultant will comply with any applicable procurement guidelines and shall at all times exercise such responsibility in the best interest of the Client. Any discounts or commissions obtained by the Consultant in the exercise of such procurement shall be for the account of the Client.

**3.2.2 Consultant and Affiliates Not to be Otherwise Interested in Project**

The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and his affiliates, as well as any Sub consultant and any of his affiliates, shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.

**3.2.3 Prohibition of Conflicting Activities**

Neither the Consultant nor his sub consultant[s] nor their personnel shall engage, either directly or indirectly in any of the following activities:

- (a) during the term of this Contract, any business or professional activities in the Republic of Kenya which would conflict with the activities assigned to them under this Contract; or
- (b) after the termination of this Contract, such other activities as may be specified in the SC.

**3.3 Confidentiality**

The Consultant, his sub consultant[s] and the personnel of either of them shall not, either during the term of this Contract or within two (2) years after the expiration of this Contract, disclose any proprietary or confidential information relating to the Project, the Services, this Contract or the Client's business or operations without the prior written consent of the Client.

**3.4 Insurance to be Taken Out by the Consultant**

The Consultant (a) shall take out and maintain and shall cause any sub consultant[s] to take out and maintain, at his (or the sub consultants', as the case may be) own cost but on terms and conditions approved by the Client, insurance against the risks and for the coverage, as shall be specified in the SC; and (b) at the Client's request, shall provide evidence to the Client showing that such insurance has been taken out and maintained and that the current premiums have been paid.

**3.5 Consultant's Actions Requiring**

The Consultant shall obtain the Client's prior approval in writing before taking any of the

**Client's prior Approval**

following actions;

- (a) entering into a subcontract for the performance of any part of the Services,
- (b) appointing such members of the personnel not listed by name in Appendix C ("Key Personnel and Sub consultants").

**3.6 Reporting Obligations**

The Consultants shall submit to the Client the reports and documents specified in Appendix A in the form, in the numbers, and within the periods set forth in the said Appendix.

**3.7 Documents prepared by the Consultant to Be the Property of the Client**

All plans, drawings, specifications, designs, reports and other documents and software submitted by the Consultant in accordance with Clause 3.6 shall become and remain the property of the Client and the Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents and software to the Client together with a detailed inventory thereof. The Consultant may retain a copy of such documents and software. Neither Party shall use these documents for purposes unrelated to this Contract without the prior approval of the other Party.

**4. CONSULTANT'S PERSONNEL**

**4.1 Description of Personnel**

The titles, agreed job descriptions, minimum qualifications and estimated periods of engagement in the carrying out of the Services of the Consultant's Key Personnel are

described in Appendix C. The Key Personnel and Sub consultants listed by title as well as by name in Appendix C are hereby approved by the Client.

**4.2 Removal and/or Replacement Of Personnel** (a) Except as the Client may otherwise agree, no changes shall be made in the Key Personnel. If for any reason beyond the reasonable control of the Consultant, it becomes necessary to replace any of the Key Personnel, the Consultant shall provide as a replacement a person of equivalent or better qualifications.

(b) If the Client finds that any of the Personnel have (i) committed serious misconduct or have been charged with having committed a criminal action, or (ii) the Client has reasonable cause to be dissatisfied with the performance of any of the Personnel, then the Consultant shall, at the Client's written request specifying the grounds thereof, provide as a replacement a person with qualifications and experience acceptable to the Client.

(c) The Consultant shall have no claim for additional costs arising out of or incidental to any removal and/or replacement of Personnel.

## **5. OBLIGATIONS OF THE CLIENT**

### **5.1 Assistance and Exemptions**

The Client shall use his best efforts to ensure that he provides the Consultant such assistance and exemptions as may be necessary for due

performance of this Contract.

**5.2 Change in the  
Applicable Law**

If after the date of this Contract, there is any change in the Laws of Kenya with respect to taxes and duties which increases or decreases the cost of the Services rendered by the Consultant, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased accordingly by agreement between the Parties and corresponding adjustments shall be made to the amounts referred to in Clause 6.2 (a) or (b), as the case may be.

**5.3 Services and Facilities**

The Client shall make available to the Consultant the Services and Facilities listed under Appendix F.

**6. PAYMENTS TO THE CONSULTANT**

**6.1 Lump-Sum  
Remuneration**

The Consultant's total remuneration shall not exceed the Contract Price and shall be a fixed lump-sum including all staff costs, Sub consultants' costs, printing, communications, travel, accommodation and the like and all other costs incurred by the Consultant in carrying out the Services described in Appendix A. Except as provided in Clause 5.2, the Contract Price may only be increased above the amounts stated in Clause 6.2 if the Parties have agreed to additional payments in accordance with Clause 2.4.

- 6.2 Contract Price** (a) The price payable in foreign currency is set forth in the SC.
- (b) The price payable in local currency is set forth in the SC.
- 6.3 Payment for Additional Services** For the purposes of determining the remuneration due for additional services as may be agreed under Clause 2.4, a breakdown of the lump-sum price is provided in Appendices D and E.
- 6.4 Terms and Conditions of Payment** Payments will be made to the account of the Consultant and according to the payment schedule stated in the SC. Unless otherwise stated in the SC, the first payment shall be made against the provision by the Consultant of a bank guarantee for the same amount and shall be valid for the period stated in the SC. Any other payment shall be made after the conditions listed in the SC for such payment have been met and the Consultant has submitted an invoice to the Client specifying the amount due.
- 6.5 Interest on Delayed Payment** Payment shall be made within thirty (30) days of receipt of invoice and the relevant documents specified in Clause 6.4. If the Client has delayed payments beyond thirty (30) days after the due date hereof, simple interest shall be paid to the Consultant for each day of delay at a rate three percentage points above the prevailing Central Bank of Kenya's average rate for base lending .

## **7. SETTLEMENT OF DISPUTES**

- 7.1 Amicable Settlement**      The Parties shall use their best efforts to settle amicably all disputes arising out of or in connection with this Contract or its interpretation.
- 7.2 Dispute Settlement**      Any dispute between the Parties as to matters arising pursuant to this Contract that cannot be settled amicably within thirty (30) days after receipt by one Party of the other Party's request for such amicable settlement may be referred by either Party to the arbitration and final decision of a person to be agreed between the Parties. Failing agreement to concur in the appointment of an Arbitrator, the Arbitrator shall be appointed by the Chairman of the Chartered Institute of Arbitrators, Kenya Branch, on the request of the applying party.

### III. SPECIAL CONDITIONS OF CONTRACT

<b>Number of GC</b>	<b>Amendments of and Supplements to Clauses in the</b>
<b>Clause</b>	<b>General Conditions of Contract</b>

---

1.1(i) The Member in Charge is: **As evaluated and awarded in this RFP.**

1.4 The addresses are:

Client: **National Environment Management Authority**

Attention: **Director General**

Telephone: 020-2101370/ 0724 253 398/0735 013 046

Email; dgnema@nema.go.ke

Facsimile: 020 6008997

1.6 The Authorized Representatives are:

For the Client: **Chief Corporate Communications Manager**

2.3 The period shall be **four (4) months** or such other period as the Parties may agree in writing.



3.4 The risks and coverage shall be:

- (i) Professional Liability **N/A**
- (ii) Loss of or damage to equipment and property **N/A**

6.2(a) The amount in foreign currency or currencies is: N/A.

6.2(b) The amount in local Currency is ***to be determined upon signing of contract.***

6.4 The provision by the Consultant of a bank guarantee: N/A

Payments shall be made according to the following schedule:

- i. 15% on submission of Inception reports.
- ii. 30% on submission of Draft CSR Policy and Strategy, plan and M & E framework
- iii. 55% on submission of Final CSR Policy and Strategy, plan and M & E framework

## **IV. Appendices**

### **APPENDIX A – DESCRIPTION OF THE SERVICES**

*As per Terms of Reference*

### **APPENDIX B – REPORTING REQUIREMENTS**

*As per Terms of Reference*

### **APPENDIX C– KEY PERSONNEL AND SUBCONSULTANTS**

*As per EOI*

### **APPENDIX D – BREAKDOWN OF CONTRACT PRICE IN FOREIGN CURRENCY**

*Consultant to provide in the Financial Proposal*

### **APPENDIX E – BREAKDOWN OF CONTRACT PRICE IN LOCAL CURRENCY**

*Consultant to provide in the Financial Proposal*

### **APPENDIX F – SERVICES AND FACILITIES PROVIDED BY THE CLIENT**

*As per Terms of Reference*

**ANNEX II**

**SAMPLE CONTRACT FOR CONSULTING SERVICES**

**SMALL ASSIGNMENTS**

**TIME-BASED PAYMENTS**

**CONTRACT**

This Agreement [hereinafter called “the Contract”) is entered into this \_\_\_\_\_  
[Insert starting date of assignment], by and between

\_\_\_\_\_

[Insert Client’s name] of [or whose registered office is situated at]  
\_\_\_\_\_ [insert Client’s  
address](hereinafter called “the Client”) of the one part AND

\_\_\_\_\_ [Insert Consultant’s name] of [or whose  
registered office is situated at] \_\_\_\_\_ [insert  
Consultant’s address](hereinafter called “the Consultant”) of the other part.

WHEREAS, the Client wishes to have the Consultant perform the Services [hereinafter referred to as “the Services”, and

WHEREAS, the Consultant is willing to perform the said Services,

NOW THEREFORE THE PARTIES hereby agree as follows:

**1. Services** (i) The Consultant shall perform the Services specified in

Appendix A, "Terms of Reference and Scope of Services," which is made an integral part of this Contract.

- (ii) The Consultant shall provide the reports listed in Appendix B, "Consultant's Reporting Obligations," within the time periods listed in such Appendix and the personnel listed in Appendix C, "Cost Estimate of Services, List of Personnel and Schedule of Rates" to perform the Services.

**2. Term**

The Consultant shall perform the Services during the period commencing \_\_\_\_\_ *[Insert start date]* and continuing through to \_\_\_\_\_ *[Insert completion date]* or any other period(s) as may be subsequently agreed by the parties in writing.

**3. Payment**

**A. Ceiling**

For Services rendered pursuant to Appendix A, the Client shall pay the Consultant an amount not to exceed a ceiling of \_\_\_\_\_ *[Insert ceiling amount]*. This amount has been established based on the understanding that it includes all of the Consultant's costs and profits as well as any tax obligation that may be imposed on the Consultant. The payments made under the Contract consist of the Consultant's remuneration as defined in sub-paragraph (B) below and of the reimbursable expenditures as defined in sub-paragraph (C) below.

**B. Remuneration**

The Client shall pay the Consultant for Services rendered at the rate(s) per man/month spent (or per day spent or per hour spent, subject to a maximum of eight hours per day) in

accordance with the rates agreed and specified in Appendix C, "Cost Estimate of Services, List of Personnel and Schedule of Rates".

**C. Reimbursables**

The Client shall pay the Consultant for reimbursable expenses which shall consist of and be limited to:

- (i) normal and customary expenditures for official road and air travel, accommodation, printing and telephone charges; air travel will be reimbursed at the cost of less than first class travel and will need to be authorized by the Client's coordinator;
- (ii) Such other expenses as approved in advance by the Client's coordinator.

**D. Payment Conditions.**

Payment shall be made in Kenya shillings unless otherwise specified not later than thirty {30} days following submission of invoices in duplicate to the Coordinator designated in Clause 4 here below. If the Client has delayed payments beyond thirty (30) days after the date hereof, simple interest shall be paid to the Consultant for each day of delay at a rate three percentage points above the prevailing Central Bank of Kenya's average rate for base lending.

The parties shall use their best efforts to settle amicably all disputes arising out of or in connection with this Contract or its interpretation.

**4. Project**

**A. Coordinator**

**Administration**

The Client designates \_\_\_\_\_

*[Insert name]* as Client's Coordinator; the Coordinator shall be responsible for the coordination of activities under the Contract, for receiving and approving invoices for payment and for acceptance of the deliverables by the Client.

**B. Timesheets.**

During the course of their work under this Contract, including field work, the Consultant's employees providing services under this Contract may be required to complete timesheets or any other document used to identify time spent as well as expenses incurred, as instructed by the Project Coordinator.

**C. Records and Accounts**

The Consultant shall keep accurate and systematic records and accounts in respect of the Services which will clearly identify all charges and expenses. The Client reserves the right to audit or to nominate a reputable accounting firm to audit the Consultant's records relating to amounts claimed under this Contract during its term and any extension and for a period of three months thereafter.

- 5. Performance Standard** The Consultant undertakes to perform the Services with the highest standards of professional and ethical competence and integrity. The Consultant shall promptly replace any employees assigned under this Contract that the Client considers unsatisfactory.
- 6. Confidentiality** The Consultant shall not, during the term of this Contract and within two years after its expiration, disclose any proprietary or confidential information relating to the Services, this Contract or the Client's business or operations without the prior written consent of the Client.
- 7. Ownership of Material** Any studies, reports or other material, graphic, software or otherwise prepared by the Consultant for the Client under the Contract shall belong to and remain the property of the Client. The Consultant may retain a copy of such documents and software.
- 8. Consultant Not to be Engaged in Certain Activities** The Consultant agrees that during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant shall be disqualified from providing goods, works or services (other than the Services or any continuation thereof) for any project resulting from or closely related to the Services.
- 9. Insurance** The Consultant will be responsible for taking out any appropriate insurance coverage.

**10. Assignment** The Consultant shall not assign this Contract or Subcontract any portion thereof without the Client's prior written consent.

**11. Law Governing Contract and Language** The Contract shall be governed by the Laws of Kenya and the language of the Contract shall be English Language.

**12. Dispute Resolution** Any dispute arising out of this Contract which cannot be amicably settled between the parties, shall be referred by either party to the arbitration and final decision of a person to be agreed between the parties. Failing agreement to concur in the appointment of an Arbitrator, the Arbitrator shall be appointed by the chairman of the Chartered Institute of Arbitrators, Kenya branch, on the request of the applying party.

FOR THE CLIENT

FOR THE CONSULTANT

Full name; \_\_\_\_\_

Full name \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Signature; \_\_\_\_\_

Signature; \_\_\_\_\_

Date; \_\_\_\_\_

Date; \_\_\_\_\_



## **LIST OF APPENDICES**

Appendix A: Terms of Reference and Scope of Services

Appendix B: Consultant's reporting Obligation

Appendix C: Cost Estimate of Services, List of Personnel and Schedule of Rates

### **APPENDIX C**

Cost Estimate of Services, List of Personnel and Schedule of Rates.

(1) Remuneration of Staff

	Name	Rate (per month/day/hour in currency)	Time spent (number of month/day/hour)	Total (currency)
(a)	Team Leader			
(b)				
(c)				
				Sub-Total (1)

(2) Reimbursables

	Rate	Days	Total
(a) Air Travel			
(b) Road Transportation			
(c) Per Diem			
			Sub-Total (2)

TOTAL COST \_\_\_\_\_

Physical Contingency \_\_\_\_\_

CONTRACT CEILING \_\_\_\_\_

**ANNEX III**

**SAMPLE CONTRACT FOR CONSULTING SERVICES**

**Small Assignments**

Lump-sum payments

**SAMPLE CONTRACT FOR CONSULTING SERVICES**

**SMALL ASSIGNMENTS**

**LUMP-SUM PAYMENTS**

**CONTRACT**

This Agreement, [hereinafter called "the Contract"] is entered into this \_\_\_\_\_ *[Insert starting date of assignment]*, by and between \_\_\_\_\_ *[Insert Client's name]* of [or whose registered office is situated at] \_\_\_\_\_ *[insert Client's address]* (hereinafter called "the Client") of the one part AND \_\_\_\_\_ *[Insert Consultant's name]* of [or whose registered office is situated at] \_\_\_\_\_ *[insert Consultant's address]* (hereinafter called "the Consultant") of the other part.

WHEREAS the Client wishes to have the Consultant perform the services [hereinafter referred to as "the Services", and

WHEREAS the Consultant is willing to perform the said Services,

NOW THEREFORE THE PARTIES hereby agree as follows:

**1. Services** (i) The Consultant shall perform the Services specified in

Appendix A, "Terms of Reference and Scope of Services," which is made an integral part of this Contract.

- (ii) The Consultant shall provide the personnel listed in Appendix B, "Consultant's Personnel," to perform the Services.
- (iii) The Consultant shall submit to the Client the reports in the form and within the time periods specified in Appendix C, "Consultant's Reporting Obligations."

**2. Term**

The Consultant shall perform the Services during the period commencing on \_\_\_\_\_ *[Insert starting date]* and continuing through to \_\_\_\_\_ *[Insert completion date]*, or any other period(s) as may be subsequently agreed by the parties in writing.

**3. Payment**

A. Ceiling

For Services rendered pursuant to Appendix A, the Client shall pay the Consultant an amount not to exceed \_\_\_\_\_ *[Insert amount]*. This amount has been established based on the understanding that it includes all of the Consultant's costs and profits as well as any tax obligation that may be imposed on the Consultant.

B. Schedule of Payments

The schedule of payments is specified below (Modify in order to reflect the output required as described in Appendix C.)

Kshs \_\_\_\_\_ upon the Client's receipt of a copy of this Contract signed by the Consultant;

Kshs \_\_\_\_\_ upon the Client's receipt of the draft report, acceptable to the Client; and

Kshs \_\_\_\_\_ upon the Client's receipt of the final report, acceptable to the Client.

Kshs \_\_\_\_\_ Total

C. Payment Conditions

Payment shall be made in Kenya Shillings unless otherwise specified not later than thirty [30] days following submission by the Consultant of invoices in duplicate to the Coordinator designated in Clause 4 herebelow. If the Client has delayed payments beyond thirty (30) days after the due date hereof, simple interest shall be paid to the Consultant for each day of delay at a rate three percentage points above the prevailing Central Bank of Kenya's average rate for base lending.

4. Project A. Coordinator.

**Administration**

The Client designates \_\_\_\_\_ *[insert name]* as Client's Coordinator; the Coordinator will be responsible for the coordination of activities under this Contract, for acceptance and approval of the reports and of other deliverables by the Client and for receiving and approving invoices for payment.

B. Reports.

The reports listed in Appendix C, "Consultant's Reporting Obligations," shall be submitted in the course of the assignment and will constitute the basis for the payments to be made under paragraph 3.

**5. Performance Standards**

The Consultant undertakes to perform the Services with the highest standards of professional and ethical competence and integrity. The Consultant shall promptly replace any employees assigned under this Contract that the Client considers unsatisfactory.

**6. Confidentiality**

The Consultant shall not, during the term of this Contract and within two years after its expiration, disclose any proprietary or confidential information relating to the Services, this Contract or the Client's business or operations without the prior written consent of the Client.

**7. Ownership of Material**

Any studies, reports or other material, graphic, software or otherwise prepared by the Consultant for the Client under the Contract shall belong to and remain the property of the Client. The Consultant may retain a copy of such documents and software.

**8. Consultant Not to be Engaged in certain**

The Consultant agrees that during the term of this Contract and after its termination the Consultant and any entity affiliated with the Consultant shall be

**Activities**

disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.

**9. Insurance**

The Consultant will be responsible for taking out any appropriate insurance coverage.

**10. Assignment**

The Consultant shall not assign this Contract or sub-contract any portion of it without the Client’s prior written consent.

**11. Law Governing**

The Contract shall be governed by the laws of Kenya and

**Contract and**

the language of the Contract shall be English Language.

**Language**

**12. Dispute**

Any dispute arising out of the Contract which cannot be

**Resolution**

amicably settled between the parties shall be referred by either party to the arbitration and final decision of a person to be agreed between the parties. Failing agreement to concur in the appointment of an Arbitrator, the Arbitrator shall be appointed by the chairman of the Chartered Institute of Arbitrators, Kenya branch, on the request of the applying party.

FOR THE CLIENT

FOR THE CONSULTANT

Full name; \_\_\_\_\_

Full name; \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Signature; \_\_\_\_\_

Signature; \_\_\_\_\_

Date; \_\_\_\_\_

Date; \_\_\_\_\_



## **LIST OF APPENDICES**

Appendix A: Terms of Reference and Scope of Services

Appendix B: Consultant's Personnel

Appendix C: Consultant's Reporting Obligations

**LETTER OF NOTIFICATION OF AWARD**

Address of Procuring Entity

\_\_\_\_\_  
\_\_\_\_\_

To: \_\_\_\_\_

\_\_\_\_\_

RE: Tender No. \_\_\_\_\_

Tender Name \_\_\_\_\_

This is to notify that the contract/s stated below under the above mentioned tender have been awarded to you.

\_\_\_\_\_  
\_\_\_\_\_

1. Please acknowledge receipt of this letter of notification signifying your acceptance.
2. The contract/contracts shall be signed by the parties within 30 days of the date of this letter but not earlier than 14 days from the date of the letter.
3. You may contact the officer(s) whose particulars appear below on the subject matter of this letter of notification of award.

*(FULL PARTICULARS)* \_\_\_\_\_

SIGNED FOR ACCOUNTING OFFICER

**FORM RB 1**

**REPUBLIC OF KENYA**

**PUBLIC PROCUREMENT ADMINISTRATIVE REVIEW BOARD**

APPLICATION NO.....OF.....20.....

BETWEEN

.....APPLICANT

AND

.....RESPONDENT (*Procuring Entity*)

Request for review of the decision of the..... (*Name of the Procuring Entity*) of  
.....dated the...day of .....20.....in the matter of Tender  
No.....of .....20...

**REQUEST FOR REVIEW**

I/We.....,the above named Applicant(s), of address: Physical  
address.....Fax No.....Tel. No.....Email ....., hereby request the  
Public Procurement Administrative Review Board to review the whole/part of the  
above mentioned decision on the following grounds , namely:-

1.

2.

etc.

By this memorandum, the Applicant requests the Board for an order/orders that: -

1.

2.

etc

SIGNED .....(Applicant)

Dated on.....day of ...../...20...

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**FOR OFFICIAL USE ONLY**

Lodged with the Secretary Public Procurement Administrative Review Board on  
..... day of .....20.....

SIGNED

**Board Secretary**